# SOFTWARE TESTING

#### **Tester's Job:**

- Find as *many* faults of different *kinds* as he can.
- Certify some kind of quality measure for the software based on the test results, meaning he must
  - carefully select test cases, and
  - evaluate the test results.

Software testing does not show that there are no faults, even when every test-case gives the correct output.

#### **Basic Assumption in Software Testing:**

- Errors are not intentional by the programmer, i.e., they are not specially crafted.
- All Testing methods depend on this assumption.

#### **Test Coverage Measures:**

• They are based on program's structure or more abstract forms like finite-state model or data-flow model.

## Mapping Test Results to Error Discovery: ???

# **INPUT-OUTPUT SPECIFICATIONS, PROGRAM-BEHAVIOR, AND TEST-CASES**

#### **Program-Behavior:**

- *Actual* observable input-output behavior of the program; it may be different from the *expected* (based on requirements) behavior.
  - We typically do not have a complete knowledge of the program-behavior even if we have the code

# **Test-Case Behaviors:**

• The input-output behavior that we want to *test/observe*.

**Example.** *Requirement:* compute  $n^2$  for  $-20 \le n \le 20$ .

• Program computes  $n^2$  for  $0 \le n \le 10$  and  $n^3$  for  $10 < n \le 100$ .



- (1) Areas  $1+2 = \{(n, n^2): 0 \le n \le 10\}$  and Areas  $4+5 = \{(n, n^2): -20 \le n < 0 \text{ or } 10 < n \le 20\}$
- (2)  $(-3, 10) \in \text{Area}#7 \cup \text{Area}#8.$

#### † Fig. 1.4 in "Software Testing" (3rd ed.) by P.C. Jorgensen.

# AN ALTERNATE VIEWInput-Output<br/>behavior-spaceSpecifications<br/>5<br/>4<br/>3<br/>7Program behaviors<br/>6<br/>1<br/>3<br/>7Input-Output<br/>behavior-space8<br/>7<br/>Test-case behaviors



# **Question:**

- •? Mark all parts of the input-space (horizontal axis) corresponding to the other areas in the top-diagram. (It may not be meaningful to do the same for output-space why?)
- •? Which points in the second diagram belong to the input-output behavior space in the top diagram?
- •? Show a modified version of the "alternative" view if the specification allows multiple different acceptable outputs for some inputs.

# **BLACK-BOX TESTING**

• Based on requirements; uses an executable code only.

**Example Requirements** (for an WordCharCounts-function):

- (1) Words in the input text fi le are at most 20 characters long. (This is not same as saying that longer words are to be ignored.)
- (2) Blanks, tabs, and new-lines are considered word-separators.
- (3) Comma, semicolon, and colon are not part of a word; hyphens as in "son-in-law" are part of a word.

**Example Test-case** (input fi le is shown as a string):

• The test-case below ([t] for a tab) can verify requirement (1) and parts of (2), making it of category 1 or 4 (see page 3).

"This text t t has five words "

- This would not verify requirement (3), i.e., the required behavior; requirement (3) falls in category 2 or 5 w.r.t this test case.
- **Question:** Which of the requirements in (1)-(3) are of category 2 w.r.t the above test case and the source-code below? Give a requirement of category 5 w.r.t this test case.

```
#define WORDLEN 20
void WordCharCounts(FILE *inFile)
{ int i;
   char word[WORDLEN+1];
   wordCount = charCount = 0;
   while (fscanf(inFile, "%s", word) > 0) {
       wordCount++;
       for (i=0; i<=WORDLEN; i++)
            if ('\0' == word[i]) break;
            else charCount++;
       }
}</pre>
```

#### EXERCISE

1. Read the manual page for strcpy-function in C (Unix; type "man strcpy" to see the manual-page); why do you think the source-string pointer is not to be changed by strcpy-function? Make sure that you understand what would go wrong with str-cpy-function for the situation below; here, the destination and source strings are next to each other but they do not overlap. Things would also go wrong if we let destination = source + 2, i.e., the destination-string starts at two places after the start of source-string.

destination			source							
	a	b	C	$\setminus 0$	d	e	f	g	h	$\setminus 0$

Then, write a "good" set of requirements for a function, whose profile is given below, and explain what should the new safeStrcpy-function do in each of the above cases. Indicate what should be the return-values in each of the cases.

int safeStrcpy(char \* destination, char \*source)

A programmer should be able to find out if a successful copy action has been properly carried out while using safeStrcpyfunction or the nature of the problem that would have happened, so that he can take appropriate alternate action (which could be to use the old strcpy-function). Finally, give an implementation (or a pseudocode) that meets the requirements you formulated.

2. The next-page gives several incorrect versions of safeStrcpyfunction; comments are added by me. Find out what is the problem in each case.

# **INCORRECT** safeStrcpy-FUNCTIONS

Comments are added by me.

```
1. int safeStrcpy(char *destination, char *source)
   { int *ptr = malloc(strlen(source));
     strcpy(ptr, source);
     strcpy(destination, ptr);
     source = ptr;
     return destination;
   }
2. int safeStrcpy(char *destination, char *source)
   { int length = strlen(source);
     char array[length]; //does not work - use malloc
     strcpy(temp, source); //what is temp?
     strcpy(destination, temp);
     return 1;
   }
3. int safeStrcpy(char *destination, char *source)
   { int length = strlen(source);
     char *temp[length];
     strcpy(temp, source);
     strcpy(destination, temp);
     return 1;
   }
4. int safeStrcpy(char *destination, char *source)
   { int n = LENGTH; //what is the relevance of LENGTH?
     if (n != 0) {
        char *d = dst;
        const char *s = src;
        while (--n != 0) {
              if ((*d++ = *s++) == 0) { //you meant '\0'
                 while (--n != 0) *d++ = 0; // ' \setminus 0'?
                 break;
              }
     return(&des);
   }
5. Pseudocode for safeStrcpy(char *destination, char *source)
   int length = getLength of string; //which string?
   int arrayLength = getLength of array; //what array?
   if (length < arrayLength)
      strcpy(destination, course)
```

# WHITE-BOX TESTING

- Uses the source-code, in addition to the requirements.
- Can focus on the way an output variable is affected by inputs (static code analysis) and relationship among output variables.
- Allows more detailed testing, taking advantage of *automated* code instrumentation. (Automated instrumentation prevents erroneous code modification.)
- Helps to identify sources of error.
- Better assess the quality of testing in terms of test-coverage measures.
- **Example.** Static-analysis can show that we will always have "char-Count  $\geq$  wordCount", which is obviously true (if we do not exclude single character words).

```
#define WORDLEN 20
void WordCharCounts(FILE *inFile)
{ int i;
   char word[WORDLEN+1];
   wordCount = charCount = 0;
   while (fscanf(inFile, "%s", word) > 0) {
       wordCount++;
       for (i=0; i<=WORDLEN; i++)
           if ('\0' == word[i]) break;
           else charCount++;
       }
}</pre>
```

**Question:** Give another property (relationship) among the outputs or between inputs and outputs that can be obtained by examining the code.

# **PATH-EQUIVALENCE OF INPUTS**

#### **Path-Equivalence of Inputs:**

- Two inputs  $I_1$  and  $I_2$  are *path-equivalent*, denoted by  $I_1 \approx I_2$ , if they have the same execution paths  $\pi(I_1) = \pi(I_2)$ .
  - $\pi(I_1)$  and  $\pi(I_2)$  follow the same true/false branch at each decision-node for each execution of them, executing same sequence of actions.

#### **Characteristics of An Equivalence Relation:**

- Reflexive:  $x \approx x$ .
- Symmetric: If  $x \approx y$ , then  $y \approx x$ .
- Transitive: If  $x \approx y$  and  $y \approx z$ , then  $x \approx z$ .

**Equivalence Class:**  $[x]_{\approx} = \{y: y \approx x\}.$ 

```
Example: Considering the input fi le as a string of characters and I_1
= "abc de", I_2 = " abc ed ", and I_3 = "ab cde",
we only have I_1 \approx I_2, i.e., [I_1] = [I_2] \neq [I_3]. Why?
```

```
#define WORDLEN 20
void WordCharCounts(FILE *inFile)
{ int i;
   char word[WORDLEN+1];
   wordCount = charCount = 0;
   while (fscanf(inFile, "%s", word) > 0) {
       wordCount++;
       for (i=0; i<=WORDLEN; i++)
            if ('\0' == word[i]) break;
            else charCount++;
       }
}</pre>
```

```
Question: Which of I_1, I_2, and I_3 are path-equivalent if we replace the for-loop by "charCount += length(word)"?
```

# **REACHABILITY RELATION IS NOT AN EQUIVALENCE RELATION**

# **Reachability Relation in a Flowchart** (any directed graph):

• *xRy*, meaning *y* can be reached from *x*.



Here,  $A_1RA_2$  but not  $A_2RA_1$ although  $D_1RA_2$  and  $A_2RD_1$ .

# **Question:**

•? Which of the three equivalence-relation properties are violated for the reachability relation?

## **Output-Equivalence of Inputs:**

•  $I_1$  and  $I_2$  are *output-equivalent* if  $P(I_1) = P(I_2)$ .

# **Question:**

- •? Which of  $I_1$ ,  $I_2$ , and  $I_3$  in the previous page are output-equivalent? How about for the modified program with the for-loop replaced by "charCount += length(word)"?
- •? Does  $P(I_1) = P(I_2)$  imply that  $I_1 \approx I_2$ ? How about the converse?
- •? For a function P, what else should be considered as the output P(I) other than the value returned by P?

# IMPORTANCE OF PATH-EQUIVALENCE RELATION

# **An Elementary Form of Error:**

- An error in an action A which does not affect any branch-test condition (hence the execution path  $\pi(I)$  for any I).
- If *P*' be an erroneous version of program *P* due to an elementary error in the action *A* in *P*, then for each input *I* 
  - Path  $\pi(I)$  in *P* equals  $\pi'(I)$  in *P'*, with each occurrence of *A* in  $\pi(I)$  replaced by *A'* in  $\pi'(I)$ .
- **Question:** Give examples of elementary and non-elementary errors in WordCharCounts-program below.

```
#define WORDLEN 20
void WordCharCounts(FILE *inFile)
{int i;
  char word[WORDLEN+1];
  wordCount = charCount = 0;
  while (fscanf(inFile, "%s", word) > 0) {
     wordCount++;
     for (i=0; i<=WORDLEN; i++)
        if ('\0' == word[i]) break;
        else charCount++;
   }
}</pre>
```

# Assumption in Program Testing for an Elementary Error:

- Each test-case *I* for which  $\pi(I)$  goes through the erroneous action will show an error in the output.
- If *I* shows the error and  $I' \approx I$ , then *I'* will also show the error.

# TESTING STRATEGY FOR ELEMENTARY ERRORS

# **Single Elementary Error:**

- If we select one test-case  $I_j$  from each path-equivalence class of inputs such that
  - (a) the execution paths  $\pi(I_j)$  together cover all actions, and
  - (b) each  $I_i$  produces correct output,

then the program is error free.

# **Assumption for Multiple Elementary Errors:**

- No two errors cancel each other's effect.
- Thus, a test case  $I_j$  whose execution path  $\pi(I_j)$  goes through one or more errors will result in an error in the output.

Same testing strategy applies for multiple elementary errors.

#### **Simplest Test Coverage Measure:**

- $C_0$  = The percentage of actions covered by the test-cases.
- We want  $C_0 = 100\%$  for any acceptable level of testing.
- If C<sub>0</sub> < 100%, then there is some action A such that A ∉ π(I<sub>j</sub>) for any of the test cases I<sub>j</sub> and we can replace A by an *arbitrary* A' without any impact on the test-outputs P(I<sub>j</sub>).
- **Question:** How can we always introduce an elementary error in a program P to create a new program P' with the property that the error shows up in the output?

# **MEASURING ACTION-COVERAGE**

#### **Code Instrumentation:**

• At the start of each non-trivial action-block introduce a suitable print-operation to indicate that this block is entered:

Example. An instrumentation of WordCharCounts-function.

```
#define WORDLEN 20
void WordCharCounts(FILE *inFile)
{int i;
char word[WORDLEN+1];
printf(testCovFile, "entered block A1\n");
wordCount = charCount = 0;
while (fscanf(inFile, "%s", word) > 0) {
    printf(testCovFile, "entered block A2\n");
    wordCount++;
    for (i=0; i<=WORDLEN; i++)
        if ('\0' == word[i]) break;
        else {printf(testCovFile, "entered block A3\n");
            charCount++;
        }
}</pre>
```

**Output in testCovFile for** *I* = "**abc de**" (without indentations):

entered block A1 entered block A2 entered block A3 entered block A3 entered block A3 entered block A2 entered block A2 entered block A3 entered blo

value of  $C_0$ .

# **IT CAN BE DIFFICULT TO ACHIEVE** $C_0 = 100\%$

## **Difficulties:**

- Unreachable code; no execution ever goes through some actionblocks. Cannot achieve  $C_0 = 100\%$ .
- Difficulty in finding test-input *I* for which  $\pi(I)$  contains a specific action-block.
- Both can happen when there are many interdependent if-statements.

# **Problems with Code Instrumentation:**

- Although code instrumentation can be done via automated tools, it can increase the program size significantly.
- It can slow down the execution significantly.
- The instrumentation output fi le can be too large.

# **Approximate Methods:**

- The runtime machine code execution is sampled.
- Each executed machine code is mapped to the program source-code.
- Reduces program overhead in terms of program-memory, execution time, and measurement-output file.

# **Question:**

•? How can we instrument a code more intelligently to minimize the instrumentation-output and still have enough information to compute  $C_0$ ? Show the instrumented form for WordCharCounts-function and the instrumentation output for I = "abc de".

# A REFINEMENT OF C<sub>0</sub>-MEASURE

• Not all action-blocks  $A_i$  are of equal relevance in execution paths.



(i) A flowchart.

- If a set of begin-end paths cover the terminal nodes {*A*3, *A*4, *A*5} in the domination-tree, then it covers all flowchart nodes.
- Similarly, for the terminal nodes of the reverse-domination tree.

For 100%  $C_0$ -coverage, we only need to cover the common terminal nodes in domination and reverse-domination trees.

# **Question:**

- •? State the definition of the new  $C_0$ -coverage measure based on the common terminal nodes of the two domination trees.
- •? Give the new measure for I = empty-text-fi le for the WordChar-Count function (with bounded word-length).

# **BRANCH-COVERAGE MEASURE**

 $\sum_{D_i \text{ covered in all test runs}} (\#T/F \text{ branches covered at } D_i)$ 

 $C_1$ -coverage:

2×(#branch nodes in program)

```
= 100\% for I = "abc de".
```

#### Additional Instrumentation (for empty then/else blocks):

```
#define WORDLEN 20
void WordCharCounts(FILE *inFile)
{int i;
 char word[WORDLEN+1];
 printf(testCovFile, "entered block A1\n");
 wordCount = charCount = 0;
 while (fscanf(inFile, "%s", word) > 0) {
        printf(testCovFile, "entered block A2\n");
        wordCount++;
        for (i=0; i<=WORDLEN; i++)</pre>
            if ('\0' == word[i]) {
               printf(testCovFile, entered block A4\n");
               break;
            else {printf(testCovFile, "entered block A3\n");
                  charCount++;
                  }
}
}
```

**Output in testCovFile for** *I* = "**ab cde**" (without indentations):

entered block A1 entered block A2 entered block A3 entered block A3 entered block A3 entered block A4 entered block A2 entered block A3 entered block A3 entered block A3

**Question:** Should we instrument the exits from loops (for, while-do, etc.)? What is the minimum  $C_1$ -coverage for an *I* here?

# **BOUNDARY TESTING**

• This may involve both valid test-cases that are "within specifi cation limits" and also invalid test-cases that are outside the limits (testing for graceful-failing vs. "abort").

#### **Requirement based:**

- Many requirements represent constraints on inputs and outputs, and they can give rise to the respective boundary values.
  - Boundary testing can apply to inputs and to the outputs (trying to push respectively the input and/or the output to the boundary limits).
- The boundary values can be related to both entities and relationships in the data-model.

#### Example.

- For WordCharCounts-program,
  - Input text files with words of size 1 and of max length
     WORDLEN = 20 represent a form of boundary case.
  - Empty input fi le itself is also a boundary case.
  - An input fi le with words longer than WORDLEN = 20 represent a test-case for testing graceful-degradation.
- For TriangleClassifi cation-function
  - An input fi le containing triplets for each category of triangles (equilateral, isosceles, and scalar) and also non-triangular triplets is a regular test-case.
  - An input to test graceful-failing would be an *abc*-triplets where the input-condition " $a \le b \le c$ " is violated, which can happen in more than one way.

# NOTION OF PROGRAM SLICE

#### **Program Slice:**

- Given an output variable *x*, it is part of the program involving only those (parts of) statements that may affect *x*.
- Includes relevant branch-statements, variables *y* that affect those branches, and the statements that affect those *y* in turn.
- The slice may be a small fragment of the original program and hence easier to test or debug.
- **Example.** The bold lines below show the parts deleted to obtain the slices of WordCharCounts-function for the output variable wordCount and for charCount.

```
#define WORDLEN 20 //slice for wordCount
void WordCharCounts(FILE *inFile)
\{ int i:
  char word[WORDLEN+1];
  wordCount = charCount = 0;
  while (fscanf(inFile, "%s", word) > 0) {
         wordCount++;
        for (i=0; i<=WORDLEN; i++)</pre>
             if ('\0' == word[i]) break;
             else charCount++;
 }
}
#define WORDLEN 20 //slice for charCount
void WordCharCounts(FILE *inFile)
{ int i;
  char word[WORDLEN+1];
  wordCount = charCount = 0;
  while (fscanf(inFile, "%s", word) > 0) {
         wordCount++;
         for (i=0; i<=WORDLEN; i++)</pre>
             if (' \setminus 0' == word[i]) break;
             else charCount++;
  }
}
```

# **DEFINITION-USE RELATIONSHIP**

# **Definition of a Variable:** def(x, s)

- A statement *s* is a *definition* of *x* if an execution of *s* assigns a value to *x*.
- *s* can be a input-statement from a file, an assignment statement, or a function-call statement.

#### Use of a Variable: use(x, s)

• A statement *s* is an *use* of a variable *x* if an execution of *s* requires a value of *x*.

# **Example:**

• The statement

```
fscanf(fp, "%s", word);
```

is a definition of word, assuming that  $fp \neq NULL$ . It assigns a value to word only if reading a non-empty string succeeds and otherwise the old value (if any) is retained.

It is also a definition fp, as it may update fp.

- The above statement is not an use of word; it uses the address of word. It also uses the file-pointer fp.
- The statement

```
fscanf(fp, "%s %d", word, &i);
```

may define i and in that case it is also an use of word!

• The statement "i++;" is both a definition and an use of i.

#### **AN EXAMPLE**

```
1. void WordCharCounts(FILE *inFile)
 2. { int i;
 3.
      char word[WORDLEN+1];
      wordCount = charCount = 0;
 4.
      while (fscanf(inFile, "%s", word) > 0) {
 5.
             wordCount++;
 6.
 7.
             for (i=0; i<=WORDLEN; i++)</pre>
                 if ('\0' == word[i]) break;
 8.
 9.
                 else charCount++;
10.
      }
11. }
```



Variables	Defi nitions	Uses
inFile	1, 5	5
charCount	4,9	4,9
i	7	7,8
word (addr of word)	5 (3)	8 (5, 8)
wordCount	4,6	6

#### **DEF-USE RELATIONSHIP**

#### **Def-Use relationship:**

 We say def(x, s) is related to use(x, s'), where s may equal s', if there is an ss'-path of length ≥ 0 such that there is no other definition of x on that path in between s and s'.

# **Example of Def-Use Relationship.**

```
1. void WordCharCounts(FILE *inFile)
 2. { int i;
      char word[WORDLEN+1];
 3.
      wordCount = charCount = 0;
 4.
 5.
      while (fscanf(inFile, "%s", word) > 0) {
 6.
            wordCount++;
 7.
            for (i=0; i<=WORDLEN; i++)</pre>
                 if ('\0' == word[i]) break;
 8.
 9.
                 else charCount++;
      }
10.
11. }
```

Variable	Defi nitions	Uses of each definition
inFile	1	5
	5	5
charCount	4	4,9
	9	9
i	7 (twice)	7 (twice), 8
word	5	8
wordCount	4	6
	6	6
	6	6

- A definition with no uses is a potential flaw (e.g., a missing use).
- Even if a definition has an use, this may not be a "true" use because the def-use path is not executable.

# **Question:** Is there any non-realizable (non-executable) def-use relationship above?

## EXERCISE

1. Show the def-use relationships for the code below. Show a testdata that covers all the def-use relationships, but does not give  $100\% C_1$ -coverage; give the  $C_1$ -coverage measure for this test data and indicate the branch(es) not covered. Give another testdata to cover some of those uncovered branch(es). If we insert a suitable print-statement in the beginning of the body of the outer while-loop, then which def-use relationship-pairs will it track, and what happens if we put the print-statement just before line 4? (Draw the fbwchart to see things more clearly.)

```
01. void WordCharCounts(FILE *inFile)
02. {char ch;
03.
    wordCount = charCount = 0;
    while (fscanf(inFile, "%c", &ch) > 0)
04.
          if ((ch != ' ') && (ch != '\n')) {
05.
06.
            charCount++; wordCount++;
07.
            08.
09.
                     charCount++;
10.
                  else break;
          }
11.
12. }
```

2. How do you define a coverage measure based on the def-use relationship? Explain with an example.

# A GLOBAL VIEW OF TESTING

Test Strategy: White-box or Black-box testing.

Test Goals/Objectives: Functional or performance testing.

- Select (user or design) requirements to be tested.
  - Identify functions and their input and output variables.
- Select test-coverage measures and the percentage coverage to be achieved for each measure.

#### **Three Test Conclusions:**

• More test needed, selected requirements satisfied, or not satisfied.

#### A Dataflow Diagram for Testing:



# **COMPARISON OF TEST-CASES**

#### **Basis of Comparison:**

- Output point of view: how different are the outputs.
- Execution point of view: how different are the execution-paths (or the number of statements executed, etc)?
- Performance point of view: how different are the performance parameters like execution time and memory use?

#### Notes:

• The first and third above falls in black-box view, and the second one in white-box view.

# **Question:**

- •? What are some other points of view for comparing test-cases, and which ones fall in black-box view and which ones fall in white-box view?
- •? How would you differentiate test-cases from input point of view?

# COMPARISON OF TEST-CASES VIA PROGRAM STRUCTURE

#### A Program Execution Path is More Than A Path:

• The nesting structure of program blocks gives a program execution-path more structure than just the linear (sequential) structure of a path in a general digraph.

#### **Nesting Tree of Program Blocks:**

- It is a rooted ordered tree, with each node represents an oneentry-one-exit block (disregarding breaks, continues, and returns).
  - Children of a node are ordered left-to-right representing sequential order of the associated subblocks.
- If-then-else decision nodes have two children: then-part forms the left-child and else-part forms the right-child.
- The decision-nodes for for-loop and whileDo-loop are shown as filled, and those for doWhile-loops are shown as double circles.
  - The subtrees of the children of these decision nodes form the body of the loop.
- Unlike the T/F labels of the links to children of an if-then-else decision node, there are no labels of the links to the children of decision-nodes for the loops.

# **NESTING TREE OF PROGRAM-BLOCKS**

#### Flowchart and Nesting Tree for wordCharCounts-function:

- We are using below the version that uses WORDLEN and does not use strlen-function.
- Since the then-part of D3 has no action other than transfer of abnormal (semi-structured) control via "break" (to D1) it is shown as a dashed circle.



**Question:** Suppose we replace the for-loop "for (i=0;  $i \le WORDLEN$ ; i++) ..." by the following; note that the for-loop now starts with i = 1. Show the new action blocks, the new fbwchart, and the new nesting-tree.

```
charCount++;
for (i=1; i<=WORDLEN; i++)
    if ('\0' == word[i]) break;
    else charCount++;</pre>
```

## APPROX. REPRESENTATION OF AN EXECUTION-PATH USING NESTING-TREE

- Shows the count of each node in the nesting-tree for an executionpath  $\pi(I)$  for some input *I*, giving an abstraction of  $\pi(I)$ .
  - Allows giving different weights for action-blocks at different levels and define a more refined form of  $C_0$ -measure.
  - Allows giving different weights for branches of decisionnodes at different levels and define a more refined form of  $C_1$ -measure.

# Example.

- An action-block node shows its #(executions).
- A loop-decision node shows #(loop-body executions).
- An if-then-else decision node shows #(true-branch executions) and #(false-branch executions).
- The mark "?" shows an unknown value (based on the limited action-block instrumentation output); they can be derived if we know the source-code (or have the branch-instrumentation output) and they are indicated in parentheses next to '?'.



## EXERCISE

1. How can use the representation of test-paths to analyze a set of test-cases?