# **RELATION AND RELATIONAL OPERATIONS**

## **Relation:**

- A table with a distinct name for each column (*attribute*).
- Each attribute  $A_i$  has associated with it a *domain*  $D_i$  of possible values that may appear in that column.
- Each row of the table is a *tuple* of attribute values, one per column.<sup>†</sup>

| S# | Sname | C# | Grade |
|----|-------|----|-------|
| 12 | John  | c1 | Α     |
| 12 | John  | c2 | В     |
| 12 | John  | c3 | А     |
| 15 | Bill  | c1 | А     |
| 15 | Bill  | c2 | А     |
| 27 | Linda | c1 | А     |
| 27 | Linda | c2 | В     |
| 31 | Betty | c1 | А     |

**Example.** STUDENT-GRADES relation.

• There is no ordering of the columns or the rows, i.e., a change in either (or both) does not change the relation.

<sup>†</sup> Unlike a vector, the tuple items can be of different types.

# **OPERATIONS ON A RELATION**

### Two Dimensions of Relations: Horizontal and vertical.

• The schema gives the columns (horizontal dimension) or the *intension*.

STUDENT-GRADES(S#, Sname, C#, Grade).

- The rows (vertical dimension) form the *extension* or *value* of the relation schema.
- The extension may change over time as rows are added or deleted or updated. The schema of a relation remains fixed.

**Operations:** Two types for the two dimensions.

• Operations that modify the extension (vertical dimension).

| Adds rows:      | Union                        |
|-----------------|------------------------------|
| Subtracts rows: | Intersection, Difference,    |
|                 | Subset formation (selection) |

• Operations that modify intention (and maybe extension also):

| Add columns:      | Cartesian product, Join |
|-------------------|-------------------------|
| Subtract columns: | Projection, Division    |

# **SET THEORETIC OPERATIONS: TYPE-I**

• The two operands (relation instance) in each of union, intersection, and difference operation must have the *same* schema.

**Example.** Consider the schema STUDENT(S#, Sname).

| exte | nsion $R_1$ | ext | tension $R_2$ |
|------|-------------|-----|---------------|
| S#   | Sname       | S#  | Sname         |
| 12   | John        | 12  | John          |
| 27   | Linda       | 27  | Linda         |
| 31   | Betty       | 32  | Linda         |
| 44   | Steve       |     |               |

### Union:

•  $R_1 \cup R_2$  = the set of all tuples which belong to one or both of  $R_1$  and  $R_2$ ;  $|R_1 \cup R_2| \le |R_1| + |R_2|$ .

| $R_1$ | $\cup R_2$ |
|-------|------------|
| S#    | Sname      |
| 12    | John       |
| 27    | Linda      |
| 31    | Betty      |
| 44    | Steve      |
| 32    | Linda      |

| exte | nsion $R_1$ | ext | tension $R_2$ |
|------|-------------|-----|---------------|
| S#   | Sname       | S#  | Sname         |
| 12   | John        | 12  | John          |
| 27   | Linda       | 27  | Linda         |
| 31   | Betty       | 32  | Linda         |
| 44   | Steve       |     |               |

#### **Intersection:**

•  $R_1 \cap R_2$  = the set tuples in both  $R_1$  and  $R_2$ ;  $|R_1 \cap R_2| \le \min(|R_1|, |R_2|)$ .

| S# | Sname |
|----|-------|
| 12 | John  |
| 27 | Linda |

#### **Difference:**

•  $R_1 - R_2$  = the set of tuples in  $R_1$  but not in  $R_2$ ;  $|R_1 - R_2| \le |R_1|$ .

| S# | Sname |
|----|-------|
| 31 | Betty |
| 44 | Steve |

#### Selection:

•  $\sigma_P(R_1)$  = the set of tuples in  $R_1$  which satisfy the predicate P;  $|\sigma_P(R_1)| \le |R_1|$ . Let P = "even S#".

| S# | Sname |
|----|-------|
| 12 | John  |
| 44 | Steve |

## **OTHER OPERATIONS: TYPE II**

#### **Cartesian product:**

•  $R_1 \times R_2$  = all combinations of tuples of  $R_1$  and tuples of  $R_2$ ;  $|R_1 \times R_2| = |R_1| \times |R_2|.$ 

| STU | UDENT |   | C  | OURSE    |
|-----|-------|---|----|----------|
| S#  | Sname | _ | C# | Cname    |
| 12  | John  |   | c1 | Database |
| 27  | Linda |   | c2 | Compiler |
| 31  | Betty |   | c3 | Pascal   |
|     |       |   | c4 | Cobol    |

#### STUDENT $\times$ COURSE

| S# | Sname | C# | Cname    |
|----|-------|----|----------|
| 12 | John  | c1 | Database |
| 12 | John  | c2 | Compiler |
| 12 | John  | c3 | Pascal   |
| 12 | John  | c4 | Cobol    |
| 27 | Linda | c1 | Database |
| 27 | Linda | c2 | Compiler |
| 27 | Linda | c3 | Pascal   |
| 27 | Linda | c4 | Cobol    |
|    | •••   |    | •••      |

• What is  $R_1(A, B, C) \times R_2(A, D)$ , where attribute A is common? The result relation has attributes  $(A^{(1)}, B, C, A^{(2)}, D)$ , where  $A^{(1)}$  and  $A^2$  captures attribute A in  $R_1$  and  $A^2$ , respectively. (Note that A in  $R^1$  may have a different meaning than A in  $R_2$ .)

## **Projection:**

- $\Pi_A(R)$  = from each tuple in R take only the values in the set of columns in A (eliminate any duplicate rows that may be generated in the process);  $|\Pi_A(R)| \le |R|$ .
- Projection can be taken on a set of attributes.

| STUDENT |       |  |
|---------|-------|--|
| S#      | Sname |  |
| 12      | John  |  |
| 15      | Bill  |  |
| 27      | Linda |  |
| 32      | Linda |  |

| $\Pi_{Sname}$ (STUDENT) | $\Pi_{S\#}(\text{STUDENT})$ |
|-------------------------|-----------------------------|
| Sname                   | S#                          |
| John                    | 12                          |
| Bill                    | 15                          |
| Linda                   | 27                          |
|                         | 32                          |

### **Natural Join:**

- $R_1 \otimes R_2$  = the tuples of  $R_1 \times R_2$  for which the values in the common columns of  $R_1$  and  $R_2$  are identical;  $|R_1 \otimes R_2| \le |R_1| \times |R_2|$ .
- Only one set of the common columns are kept.

| STU | UDENT GRADE |  |    | DE |       |  |
|-----|-------------|--|----|----|-------|--|
| S#  | S# Sname    |  | S# | C# | Grade |  |
| 12  | John        |  | 12 | c1 | A     |  |
| 27  | Linda       |  | 12 | c2 | В     |  |
| 31  | Betty       |  | 27 | c1 | В     |  |
| 44  | Steve       |  | 31 | c2 | А     |  |

### STUDENT $\otimes_{S^{\#}}$ GRADE

| S# | Sname | C#         | Grade |
|----|-------|------------|-------|
| 12 | John  | c1         | A     |
| 12 | John  | c2         | В     |
| 27 | Linda | <b>c</b> 1 | В     |
| 31 | Betty | c2         | А     |

- The join-relation shows all students and their course grades.
- Since Steve has no grades (has not taken any courses), his information is lost.
- The join STUDENT  $\otimes_{S^{\#}}$  GRADE can be expressed in terms of selection and projection as

$$\Pi_{(S\#,Sname,C\#,Grade)} \left[ \sigma_{STUDENT.S\#=GRADE.S\#}(STUDENT \times GRADE) \right]$$

## **Division:**

- $R_1/R_2$ , where  $\operatorname{Attrb}(R_2) \subset \operatorname{Attrb}(R_1)$ . The result relation has attributes  $\operatorname{Attrb}(R_1) \operatorname{Attrb}(R_2)$ .
  - (1) First obtain the projection of  $R_1$  on the columns other than those in  $R_2$ .
  - (2) Then, select those rows of the projection whose cartesian product with  $R_2$  is contained in  $R_1$ .

| $R_1 = $ STUDENT_GRADE |       |            | $R_2 = \text{GRADE}$ |   |    | $R_{1}/R_{2}$ |   |    |       |
|------------------------|-------|------------|----------------------|---|----|---------------|---|----|-------|
| S#                     | Sname | C#         | Grade                | = | C# | Grade         | : | S# | Sname |
| 12                     | John  | c1         | А                    | - | c1 | А             | - | 12 | John  |
| 12                     | John  | c2         | В                    |   | c2 | В             |   | 27 | Linda |
| 12                     | John  | c3         | А                    |   |    |               |   |    |       |
| 15                     | Bill  | c1         | В                    |   |    |               |   |    |       |
| 15                     | Bill  | c2         | В                    |   |    |               |   |    |       |
| 15                     | Bill  | c3         | А                    |   |    |               |   |    |       |
| 27                     | Linda | c1         | А                    |   |    |               |   |    |       |
| 27                     | Linda | c2         | В                    |   |    |               |   |    |       |
| 31                     | Betty | <b>c</b> 1 | А                    | _ |    |               |   |    |       |

- $R_1/R_2$  gives (S#, Sname) of those students who received grade A in course c1 and grade B in course c2.
- No single row in  $R_1$  = STUDENT\_GRADE can give the information about both (c1, A) and (c2, B) in GRADE.
- The division operator is used when several rows have to be evaluated together with separate criteria applied to each of those rows. We can express  $R_1/R_2$  above using projection and selections operations as follows

 $\Pi_{(S\#,Sname)}\sigma_{(C\#=c1)\land(Grade=A)}(R_1)\cap\Pi_{(S\#,Sname)}\sigma_{(C\#=c2)\land(Grade=B)}(R_1)$ 

#### EXERCISE

1. How can you obtain (S#, Sname) of STUDENTs who satisfy the criteria P: "(A in c1) or (B in c2)"? For the relation given on the previous page, you should get the following final answer.

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- 2. Find the expression involving division and other operations to determine (S#, Sname) of students who had taken at least the courses c1 and c2 and received at least one A (may be in a course different c1 and c2). Keep the number of relational-operations performed as small as possible. (Note that finding (S#, Sname) for students who received at least two *A*'s is more complex.)
- 3. Which of  $(R_1/R_2) \times R_2 \subseteq R_1$  and  $(R_1/R_2) \times R_2 \supseteq R_1$  is true for abitrary  $R_1$  and  $R_2$  with Attrb $(R_2) \subset Attrb(R_1)$ ?
- 4. Is it better to have a relation COURSE-GRADE(S#, C#, Grade) for all courses or have several relations like DATABASE-GRADE(S#, Grade), THEORY-GRADE(S#, Grade), etc, one relation for each course? Which form requires less total storage to represent a given collection of course-grade information?

# **DECOMPOSITION OF A RELATION**

• For disjoint sets of attributes X, Y, and Z, a relation R(X,Y,Z) is *decomposable* if  $R = \prod_{XY} R \otimes_Y \prod_{YZ} R$ .

## **Example:**

• For the example R(A, B, C) below  $R \neq \prod_{AB} R \otimes_B \prod_{BC} R$ . The tuple (a1, b1, c2) is in the join but not in R.

| A              | В              | С              |   | А              | В              |           | В              | С              |
|----------------|----------------|----------------|---|----------------|----------------|-----------|----------------|----------------|
| a1<br>a1<br>a2 | b1<br>b2<br>b3 | c1<br>c2<br>c1 | ≠ | a1<br>a1<br>a2 | b1<br>b2<br>b3 | $\otimes$ | b1<br>b2<br>b3 | c1<br>c2<br>c1 |
| a2             | b1             | c2             |   | a2             | b1             |           | b1             | c2             |

- $R \neq \prod_{XY} R \otimes_Y \prod_{YZ} R$  means the facts described by (X,Y,Z) do not consists of independent facts described by (X,Y) and (Y,Z).
- If A = Person, B = Project, and C = Hours-worked, then the decomposition holds if each person in a project works the same number of hours. That is, c1 = c2 = the hours for project b1.

| A                    | В                    | С                    |   | А                    | В                    |           | В              | С              |
|----------------------|----------------------|----------------------|---|----------------------|----------------------|-----------|----------------|----------------|
| a1<br>a1<br>a2<br>a2 | b1<br>b2<br>b3<br>b1 | c1<br>c2<br>c1<br>c1 | = | a1<br>a1<br>a2<br>a2 | b1<br>b2<br>b3<br>b1 | $\otimes$ | b1<br>b2<br>b3 | c1<br>c2<br>c1 |

# **DECOMPOSITION THEOREM**

### Theorem.

• If  $Y \to Z$  is a functional dependency, then  $R(X, Y, Z) = \prod_{XY} R \otimes_Y \prod_{YZ} R$ .

## EXERCISE

1. If we impose  $B \rightarrow A$  instead, what changes we need to make in the fourth tuple in R(A,B,C). Show R(A, B) and R(B, C) after making the change and verify  $R(A, B, C) = R(A, B) \otimes R(B, C)$ .