NON-DETERMINISTIC FSA

Two types of non-determinism:

- (1) *Multiple start-states*; start-states $S \subseteq Q$.
 - The language $L(M) = \{x: x \text{ takes } M \text{ from some start-state to some final-state and all of } x \text{ is processed} \}.$

The string x = aac is accepted only by starting at state q_1 , and x = aab is accepted only by starting state q_2 .



- (2) Non-unique transitions; $\delta(q_i, a_j)$ is a set of states $\subseteq Q$.
 - The language $L(M) = \{x: x \text{ takes } M \text{ for some choice of successive transitions from the start-state to some final-state and all of x is processed}.$

$$\begin{array}{c} \delta(q_1, b) \\ = \{q_1, q_2\} \end{array} \xrightarrow{q_0} a \xrightarrow{a, b} q_1 \xrightarrow{b} q_2 \end{array}$$

x = abb can be fully processed in only 2 ways, and one of them accepts x.

For each NFSA M, there is an *equivalent* deterministic FSA M' such that L(M) = L(M').

REVERSING AN FSA MAY CREATE A NFSA



Reversing an FSA:

- Reverse direction of each transition (may create non-determinism).
- Make the start-state the fi nal-state.
- Make each fi nal-state a start-state (may create non-determinism).

Reverse of a Language *L*:

• $L^r = \{x^r \colon x \in L\}$, where $x^r = a_k a_{k-1} \cdots a_2 a_1$ if $x = a_1 a_2 \cdots a_{k-1} a_k$.

If *L* is regular, then L^r is also regular.

In
$$M$$
: $\chi q_0 \xrightarrow{a_1} q_1 \xrightarrow{a_2} q_2 \xrightarrow{a_3} \cdots \xrightarrow{a_{k-1}} q_{k-1} \xrightarrow{a_k} q_k$

In
$$M^r$$
: $q_0 \bullet^{a_1} q_1 \bullet^{a_2} q_2 \bullet^{a_3} \cdots \bullet^{a_{k-1}} q_k \bullet^{a_k} q_k$

Question: If M has an error-state, then what will happen to it in M^r ? Can the reversal process create unreachable states?

MULTIPLE START-STATES ELIMINATION USING λ -TRANSITIONS

λ -transition:

• An FSA can change state by using a λ -transition and without reading an input symbol.

Elimination of Multiple Start-states:

- Add a new state *s* and make it the only start-state.
- Add a λ -transition from s to each of the original start-state.
- No change in fi nal-states or other transitions.



Question:

•? Give an example FSA to show that it is not enough to add a new state *s*, make it the only start-state, and for each a_j add the following transitions at *s*:

 $\delta(s, a_j) = \bigcup_{a_i} \delta(q_{i_i}, a_j)$, union over all start-states in *M*.

(We have to make the new start-state *s* also a final-state if one or more the original start-states is a final-state.)

•? Show the resulting FSA when we apply the above construction to the FSA shown at the top left. Does it change the language?

SUBSET-CONSTRUCTION METHOD FOR CONVERTING NFSA TO FSA

- The new FSA M' cannot simulate all alternative paths π(x) in the original FSA M for an input string x, because the number of π(x) can be exponentially large (in |x|) and M' has finitely many states.
- Instead, *M'* keeps track of the end points E(x) of the paths $\pi(x)$; *x* is accepted E(x) contains one or more fi nal-states of *M*.
- The end-points of the paths $\pi(x)$ form a subset of Q in M, and there are only $2^{|Q|}$ many different subsets.
- If $x = a_1 a_2 \cdots a_j$ and $x' = x a_{j+1}$, then $E(x') = \bigcup_{q_i \in E(x)} \delta(q_i, a_{j+1})$.



#(paths $\pi(x)$ for processing $x = abab^n$) = n+2.

 $(1) \xrightarrow{a} (2) \xrightarrow{b} (2,3) \xrightarrow{a} (2,4) \xrightarrow{b} (2,3,4) \xrightarrow{b} (2,3,4)$

Use the subsets of Q as the states of the new FSA.

THE SUBSET-CONSTRUCTION

Avoid construction of unreachable states:

- (1) Choose the set of all start-states in M as the start-state S_0 of the new FSA M'.
- While there is a state S_j for which the transitions have not been determined, do the following:
 For each input symbol a ∈ Σ in M,
 - (i) Let $S = \bigcup_{q_i \in S_j} \delta(q_i, a)$. (It may happen that $S = \emptyset$.)
 - (ii) If S is not already a state in M', then add it as a new state.
 - (iii) Add the transition $\delta(S_j, a) = S$ in M'.
- (3) Make each state S_j in M' a final-state if it contains one or more final-states of M



Note: If we did not have the dead-state 4 in the above example, then 4 would be removed from all states in the new FSA; the state $\{4\}$ would now become \emptyset .

EXERCISE

1. Complete the partial description of the state A in the fi nite-state automaton M_{has-11} below for the language L_{has-11} (= the binary strings containing "11"), based on the descriptions of states B and C, to justify the transitions to and from A. Note that each state-description is in terms of the "past", i.e., the part of the input which is processed to arrive at the state.

$$M_{has-11}: \qquad \begin{array}{c} 0 & 0, 1 \\ \hline A & 0 \\ \hline B & \hline C \\ \hline \end{array} \qquad \begin{array}{c} A = \text{have not seen "11" and } \cdots \\ B = \text{have not seen "11" and just seen 1} \\ C = \text{seen "11"} \end{array}$$

Let M_{has-11}^r be the non-deterministic automaton obtained by applying the reversal-operation to M_{has-11} ; $L(M_{has-11}^r) = L_{has-11}^r = L_{has-11}$.

- (a) Give a suitable description in English for the states of M_{has-11}^r that would justify its transitions. What is the connection between these descriptions and the previous descriptions?
- (b) Show the FSA obtained from M_{has-11}^r by the subset-construction. Also describe the states of the new FSA in simple English in terms of the descriptions in (a).
- 2. Remove the redundant state 4 in the NFSA in page 6.4 and then apply the subset-construction. How does the result differ from the FSA shown above; do they accept the same language?
- 3. Apply the subset-construction for the NFSAs in page 6.1.
- 4. Consider a deterministic FSA for verifying multiplication of binary numbers by 3, with the usual least significant bit on the right. Also, consider a similar FSA for verifying multiplication by 2. The input alphabet for these machines should be $\{b_0, b_1, b_2, b_3\}$. Now, obtain a non-deterministic FSA for verifying multiplication by either of 2 and 3; convert it to a deterministic form.

PROJECTION OF A LANGUAGE AND λ -TRANSITION IN AN FSM

Projection:

- If $x = x_1 c x_2 c x_3 \cdots c x_k$, where some of x_i 's can be λ , none of x_i contains c, and $k \ge 1$, then the projection $\prod_c (x) = x_1 x_2 \cdots x_k$, which is simply x minus all occurrences of c.
- $\Pi_c(L) = \{ \Pi_c(x) \colon x \in L \}.$

Theorem:

- For any language L and the symbols $a \neq b$, $\Pi_a(\Pi_b(L)) = \Pi_b(\Pi_a(L))$.
- If *L* is a regular language, then there is NFSM for $\Pi_c(L)$ containing λ -transitions.

Example.



ELIMINATION OF λ **-TRANSITIONS**

λ -transition:

• The FSA can change its state without reading an input symbol.



Elimination of λ -moves in *M* gives possibly an NFSA *M*':

- *M* and *M'* have the same states, and the same fi nal-states.
- M' may have multiple start-states (due to λ -transitions from startstate of M) and non-deterministic transitions.
- **Define:** $\lambda(q_i) = \{q_j : q_j \text{ is reachable from } q_i \text{ by zero or more } \lambda\text{-transitions}\}; q_i \in \lambda(q_i).$

Algorithm:

- (1) Make each state in $\lambda(q_0)$ a start-state in M'.
- (2) For each $\delta(q_i, a_j) = q_k$ in *M* for $a_j \neq \lambda$, let $\delta(q_i, a_j) = \lambda(q_k)$ in *M'*.

Example. For above M, $\lambda(1) = \{1, 2\}$, $\lambda(2) = \{2\}$, and $\lambda(3) = \{2, 3\}$.

$$M': \quad 1 \xrightarrow{a, b, c} 3$$

THE EFFECT OF INTRODUCING ERRORS IN A REGULAR LANGUAGE

Language L modified by one replacement error:

- $RE_1(L) = \{x: x \text{ differs from some } y \in L \text{ in one position}\}.$
- *L* and $RE_1(L)$ have the same alphabet.

If L is regular, then $RE_1(L)$ is also regular.

Example. If $L = L_{0-div-2} = L_{0-even}$, then $RE_1(L) = L_{0-odd}$.

Building an $M(RE_1(L))$ from M(L):

• The construction below applies to any FSA.



EXERCISE

- 1. Apply the above method to obtain an FSA for $RE_1(L_{has-11})$. Show all details of coversion of NFSA to FSA and the details of state-minimization.
- 2. How will you generalize the above construction for exactly $k (\ge 2)$ replacement errors? Illustrate the construction using k = 2 and $M_{0-div-2}$. (The generalization to ≥ 1 errors is also easy.)
- 3. Show that $RE_{L'}(L) = \{uv'w: v' \in L', |v| = |v'|, \text{ and } uvw \in L\}$ is regular if both *L* and *L'* are regular. Note that *v* may equal *v'*. (Hint: an NFSA for $RE_{L'}(L)$ will have three phases: for the part *u* (before the error), *v'*, and *w* (after the error).)
- 4. Let $DE_1(L) = \{xy: xay \in L \text{ for some } x, a, \text{ and } y\} = \text{the set of strings obtained by$ *deletion*of a symbol from strings in*L* $. One can show that <math>DE_1(L)$ is regular by giving a method for the construction of a NFSA for $DE_1(L)$ from an FSA for *L* where the deletion operation is modeled by λ -transitions. Illustrate your method by using M_{has-11} as an example; show the NFSA after the introduction of λ -transitions (keep the states "before deletion" distinct from those "after deletion" similar to that for the case of $RE_1(L)$).
- 5. A similar result holds for the *insertion* error. State the result clearly.